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March 11, 2004

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## FAX

RECIPIENT/ PHONE NO.	FAX NO.	COMPANY/ CITY, STATE, COUNTRY
Office of Initial Patent Examination's Filing Receipt Corrections	(703) 746-9195	U.S. Patent & Trademark Office

Larry A. Roberts  
FROM

5  
PAGES (WITH COVER)

6985  
REFERENCE NO

52817/292099  
CLIENT/MATTER NO.

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### COMMENTS

The facsimile confirmation of the Patent Office imprinted hereon will acknowledge receipt of:  
Application No: 10/651,851  
Application Date.: August 29, 2003  
Applicant: Richard G. CARTLEDGE et al.  
For: Methods for Controlling the Internal Circumference of an Anatomic Orifice or Lumen  
Papers Submitted: Request for Issuance of Corrected Filing Receipt; and a marked-up copy of the  
Filing Receipt highlighting the change.  
Docket No.: 52817/292099  
Date Faxed March 11, 2004  
Atty/Sec'y: LAR:mcm

**TO BE COMPLETED BY KS OPERATIONS CENTER**

**TRANSMISSION RECEIPT DATE/TIME:** \_\_\_\_\_

**COMPLETED BY:** \_\_\_\_\_

**JOB CODE** 51707

ATLANTA AUGUSTA CHARLOTTE LONDON RALEIGH STOCKHOLM WASHINGTON WINSTON-SALEM

Patents

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 )  
Richard G. CARTLEDGE et al. )  
 ) Art Unit: 3738  
Serial No. 10/651,851 )  
 ) Examiner: Unknown  
Filed: August 29, 2003 )  
 )  
For: METHODS FOR CONTROLLING THE )  
INTERNAL CIRCUMFERENCE OF AN )  
ANATOMIC ORIFICE OR LUMEN )

REQUEST FOR ISSUANCE OF  
CORRECTED FILING RECEIPT

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Office of Initial Patent Examination's Filing Receipt Corrections  
VIA FACSIMILE (703) 746-9195

Sir:

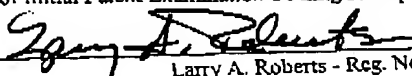
A corrected filing receipt is respectfully requested in the above-identified application to correct the following change.

The Attorney Docket Number is incorrect and should be listed as follows:

-- 52817/292099 --

Enclosed is a marked-up copy of the Filing Receipt highlighting the above-mentioned change.


I hereby certify that this correspondence is being faxed to (703) 746-9195 addressed to:  
Office of Initial Patent Examination's Filing Receipt Corrections, on March 11, 2004.

  
Larry A. Roberts - Reg. No. 31,871

**Application No.: 10/651,851**  
**REQUEST FOR ISSUANCE OF**  
**CORRECTED FILING RECEIPT**  
**Page 2**

Accordingly, the applicant respectfully requests the Office to issue a corrected filing receipt.

Respectfully submitted,



By: Larry A. Roberts  
Reg. No. 31,871

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Our Docket: 52817/292099



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/651,851	08/29/2003	3738	0.00	52817/291899 (3) 292099	11	22	4

CONFIRMATION NO. 8124

23370  
JOHN S. PRATT, ESQ  
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## FILING RECEIPT



Date Mailed: 02/18/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Richard G. Cartledge, Residence Not Provided;  
Leonard Y. Lee, Residence Not Provided;

## Domestic Priority data as claimed by applicant

This appln claims benefit of 60/406,841 08/29/2002  
and claims benefit of 60/444,005 01/31/2003  
and claims benefit of 60/447,383 02/14/2003  
and claims benefit of 60/462,435 04/12/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 02/17/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

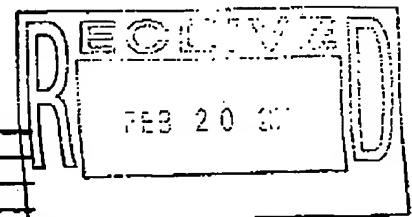
KS Docketing

Docketed for: 2004

Entered on: 2/20/04

Initials: ket

Previously Entered:



**Title**

Methods for controlling the internal circumference of an anatomic orifice or lumen

**Preliminary Class**

623

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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